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PTO/SB/17i (04-05)  
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**PROCESSING FEE  
Under 37 CFR 1.17(i)  
TRANSMITTAL**

(Fees are subject to annual revision)

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Alexandria, VA 22313-1450

Application Number	10/699,563-Conf. #1604
Filing Date	November 3, 2003
First Named Inventor	Bradford Van Wagenen
Art Unit	1625
Examiner Name	Z. N. Davis
Attorney Docket Number	5998-0506PUS3

Enclosed is a paper filed under 37 CFR \_\_\_\_\_ 1.48 that requires a processing fee (37 CFR 1.17(i)).  
Payment of \$ 130.00 is enclosed.

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

**Payment of Fees** (small entity amounts are NOT available for the processing fees)

☐ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. \_\_\_\_\_ :  
☐ processing fee under 37 CFR 1.17(i) ☒ any deficiency of fees and credit of any overpayments

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**Processing Fees under 37 CFR 1.17(l): Fee \$130**

**Fee Code 1808 for all,  
Except for §1.221 papers (Fee Code 1803)**

For papers filed under:

- § 1.28(c)(3) – for processing a non-itemized fee deficiency based on an error in small entity status.
- § 1.41 – for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications.
- § 1.48 – for correcting inventorship, except in provisional applications.
- § 1.52(d) – for processing a nonprovisional application filed with a specification in a language other than English.
- § 1.53(b)(3) – to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).
- § 1.55 – for entry of late priority papers.
- § 1.71(g)(2) – to enter an amendment to the specification for purposes of 35 U.S.C. 103(c)(2) if not filed within the cited time periods
- § 1.99(a) – for processing a belated submission under § 1.99.
- § 1.103(b) – for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).
- § 1.103(c) – for requesting limited suspension of action, request for continued examination (§ 1.114).
- § 1.103(d) – for requesting deferred examination of an application.
- § 1.217 – for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.
- § 1.221 – for requesting voluntary publication or republication of an application. Fee Code 1803
- § 1.291(c)(5) – for processing a second or subsequent protest by the same real party in interest.
- § 1.497(d) – for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.
- § 3.81 – for a patent to issue to assignee, assignment submitted after payment of the issue fee.

Signature

Andrew D. Meikle  
Typed or printed name

December 6, 2005

Date

32,868

Registration No., if applicable



Docket No.: 5998-0506PUS3  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Bradford Van Wagenen et al.

Application No.: 10/699,563

Confirmation No.: 1604

Filed: November 3, 2003

Art Unit: 1625

For: HETEROPOLYCYCLIC COMPOUNDS AND  
THEIR USE AS METABOTROPIC  
GLUTAMATE RECEPTOR ANTAGONISTS

Examiner: Z. N. Davis

**AMENDMENT, PETITION AND FEE TO ADD  
ORIGINAL ERRONEOUSLY NOT NAMED INVENTORS IN  
-NONPROVISIONAL APPLICATION- DECLARATION  
(37 C.F.R. § 1.48(A))**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. This amendment and petition is to correct the incorrect original naming of inventor(s) in the declaration under 37 C.F.R. § 1.63(a) as set forth and filed on November 3, 2003.

**Addition of Inventors:**

Add the following previously unnamed person(s) as inventor(s) of this application

Inventor:	Residence (city and foreign country):
Ian EGLE	c/o NPS Allelix Corp. 6850 Goreway Drive Mississauga, Ontario, L4V 1V7 Canada
Louise EDWARDS	c/o NPS Allelix Corp. 6850 Goreway Drive

Mississauga, Ontario, L4V 1V7 Canada

Inventor:	Residence (city and foreign country):
Tomislav STEFANAC	c/o NPS Allelix Corp. 6850 Goreway Drive Mississauga, Ontario, L4V 1V7 Canada
Tao XIN	c/o NPS Allelix Corp. 6850 Goreway Drive Mississauga, Ontario, L4V 1V7 Canada
Jalaj ARORA	c/o NPS Allelix Corp. 6850 Goreway Drive Mississauga, Ontario, L4V 1V7 Canada
William F. MICHNE	c/o AstaZeneca AB SE-151 85 Södertälje, Sweden

The following executed (i.e. signed) documents will follow in the near future:

2. A statement from:

each person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part. 37 C.F.R. § 1.48(a)(2);

a declaration by each of the actual inventor(s) as required by 37 C.F.R. § 1.63 and 37 C.F.R. § 1.48(a)(3); and

written assent of the assignee 37 C.F.R. § 1.48(a)(5).

3. The fee as set forth in (37 C.F.R. § 1.17(i)).

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. §§1.16 or 1.17; particularly, extension of time fees.

Dated: December 6, 2005

Respectfully submitted,

By 

Andrew D. Meikle

Registration No.: 32,868

BIRCH, STEWART, KOLASCH & BIRCH, LLP

8110 Gatehouse Road

Suite 100 East

P.O. Box 747

Falls Church, Virginia 22040-0747

(703) 205-8000

Attorney for Applicant

Attachment(s)